

Meeting of the Audit, Risk and Governance Committee (16 October 2023)

Chair: County Councillor Alan Schofield

Part I (Open to Press and Public)

Approval of the Council's Statement of Accounts for 2022/23

The committee considered the 2022/23 Statement of Accounts for Lancashire County Council and Lancashire County Pension Fund, presented by Khadija Saeed, Head of Corporate Finance.

Resolved: That the 2022/23 Statement of Accounts for Lancashire County Council and Lancashire County Pension Fund, as presented, be approved.

Response to the Information Request of the External Auditor

The committee considered a report which set out the proposed external audit fees payable by the council for 2023/24, presented by Neil Kissock, Director of Finance.

Resolved: That Public Sector Audit Appointments' fee proposal and the county council's response to the consultation be noted.

External Audit: Progress Report and Sector Update

The committee considered the Audit Progress Report and Sector Update as of October 2023, presented by Stuart Basnett, Audit Manager at Grant Thornton UK.

Resolved: That the Audit Progress Report and Sector Update for October 2023 be noted.

Internal Audit Progress Report

The committee considered an update on the Internal Audit Service's work and outcomes for 2022/23, for the period to 24 September 2023, presented by Andy Dalecki, Head of Internal Audit.

Resolved: That the Internal Audit Progress Report be noted.

Local Member Grants Scheme - Update Report

The committee considered an update report on the outcomes of the Local Member Grants Scheme monitoring activity carried out by Democratic Services, for the period January 2021 to March 2023, presented by Josh Mynott, Democratic and Member Services Manager.

Resolved: That

- i) The Local Member Grants Scheme – Update Report for January 2021 to March 2023 be noted;
- ii) Democratic Services be asked to inform the appropriate county councillor when organisations do not provide evidence of expenditure for their Local Member Grant; and
- iii) A further report on the Local Member Grants Scheme be provided at the Audit, Risk and Governance Committee meeting on 29 January 2023, to include updated figures for 2021/22 and 2022/23.

Corporate Risk and Opportunity Register - Quarter 3 Update

The committee considered the updated Corporate Risk and Opportunity Register for Quarter 3 of 2023/24, presented by Heloise MacAndrew, Director of Law and Governance.

Resolved: That the updated Corporate Risk and Opportunity Register be noted.

Part II (Not Open to Press and Public)

Appendix 'B' to Item 10

The committee considered the private and confidential Appendix B to Item 10 – Corporate Risk and Opportunity Register – Quarter 3 Update.

Resolved: That Appendix B to Item 10 – Corporate Risk and Opportunity Register – Quarter 3 Update, be noted.

RIPA Policy - Annual Review

The committee considered a private and confidential annual report on the Regulation of Investigatory Powers Act 2000 (RIPA), including an update on the council's use of RIPA and the council's RIPA policies, presented by Chris Wilkinson, Trading Standards Manager.

Resolved: That, subject to the incorrect Director references being amended,

- i) The Corporate Policy and Guidance on the Regulation of Investigatory Powers Act 2000, as presented, be approved;



- ii) The Shadow RIPA Surveillance Policy, as presented, be approved; and
- iii) The Covert Social Networking Checks and Surveillance Policy, as presented, be approved.

Oracle Fusion Data Breach - Update

The committee considered a private and confidential report which provided an update on the Oracle Fusion data breaches reported to the Information Commissioner's Office and the steps taken by the county council in response, presented by Jo Winston, Information Governance Manager.

Resolved: That

- i) The report on the Oracle Fusion Data Breach be noted; and
- ii) Further updates in relation to ongoing actions and the work of the Oracle Fusion Steering Group be provided at a future committee meeting to be agreed.

